FILED VIA EFS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Blackshear et al.

Application No. 10/511,362

Filed: October 15, 2004 Confirmation No. 3754

For: COMPOSITIONS AND METHODS FOR

DIAGNOSTICS AND THERAPEUTICS

FOR HYDROCEPHALUS

Examiner: Quang Nguyen

Art Unit: 2877

Attorney Reference No. 4239-64828-02

SUBMITTED USING THE ELECTRONIC FILING SYSTEM UNITED STATES PATENT AND TRADEMARK OFFICE

RENEWED PETITION UNDER 37 CFR 1.10

Applicants received a Decision on Petition Under 37 CFR 1.10 ("Decision") dated November 17, 2006, for the application referenced above; a copy the Decision is attached as **Exhibit A**. The Decision acknowledges that the Petition to Correct Filing Receipt (Petition) submitted on June 21, 2005, satisfies 37 CFR 1.10(d)(1) and (2). However, in order to satisfy 37 CFR 1.10(d)(3) any showing made by Applicants pursuant to this paragraph "must be corroborated by evidence from the USPS or that came into being and within one business day after deposit of the correspondence in the "Express Mail Post Office to Addressee" service of the USPS."

As stated in the original Petition, Applicants believe that the erroneous filing date on the Filing Receipt arose because the Express Mail receipt was incorrectly dated October 14, 2004, by the United States Postal Service ("USPS"), thereby giving Applicants a filing date that is earlier than the true filing date (which is October 15, 2004). Applicants submit herewith as **Exhibit B** an invoice from the USPS showing that the subject application marked with Express Mail Label No. EV 514604915 US was mailed on October 15, 2004. Applicants believe this is the required evidence from the USPS. The invoice date corresponding to Express Mail Label No. EV 514604915 US further matches the date of deposit listed on the following documents, which are provided in support of the petition:

- (i) **Exhibit C** Transmittal Letter, dated October 15, 2004, which was submitted to the Patent Office with this application on October 15, 2004;
- (ii) **Exhibit D** USPS Track & Confirm, confirming that the package containing the application was accepted on October 15, 2004;
- (iii) **Exhibit E** First page of a Preliminary Amendment, which was submitted to the Patent Office with this application on October 15, 2004, and which includes a Certificate of Express Mailing dated October 15, 2004;
- (iv) **Exhibit F** Two true copies of the "Express Mail" mailing label showing the "Date of Deposit" at the bottom of the label, correctly handwritten by Applicants' representative, as October 15, 2004 before deposit (top copy); and (bottom copy only) from after the package label was completed by an employee of the USPS, showing the "date-in" incorrectly entered by the USPS as October 14, 2004; and
- (v) **Exhibit G** A true copy of an internal Klarquist Sparkman "mail log" indicating that documents corresponding to attorney matter number 4239-64828-02 were deposited by Express Mail on October 15, 2004.

By this Renewed Petition, Applicants request that the USPTO correct the filing date of the application and issue a corrected official Filing Receipt, showing that the **filing date is October 15**, **2004**. Applicants paid the \$130 petition fee (37 CFR 1,17(h)) with the Petition to Correct Filing Receipt submitted on June 21, 2005, and believe that no additional fees are due.

Please contact the undersigned if any further information is required.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

One World Trade Center, Suite 1600

121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 595-5300 Facsimile: (503) 595-5301

By

Anne Carlson, Ph.D. Registration No. 47,472



17 NOV 2006

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

Klarquist Sparkman LLP One World Trade Center, Suite #1600 121 S.W. Salmon Street Portland, Oregon 97204-2988

In re Application of

BLACKSHEAR et al. U.S. App. No.: 10/511,362

PCT No.: PCT/US03/12348

Int. Filing Date: 18 April 2003 Priority Date: 19 April 2002

Attorney Docket No.: 4239-64828-02

For:

COMPOSITIONS AND METHODS FOR DIAGNOSTICS AND THERAPEUTICS

FOR HYDROCEPHALUS

DECISION ON PETITION UNDER 37 CFR 1.10

This decision is issued in response to applicants' "Renewed Petition under 37 CFR 1.10" which is being treated as a petition under 37 CFR 1.10(d) filed 18 September 2006 requesting that the above identified application be accorded a (35 U.S.C.) 371(c) filing date of 15 October 2004. Petitioner states that the application was deposited via "Express Mail" pursuant to 37 CFR 1.10.

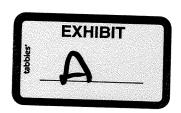
BACKGROUND

On 14 October 2004, applicants filed a transmittal letter for entry into the national stage in the United States, which accompanied by, inter alia: the requisite basic national fee as required by 35 U.S.C. 371(c)(1); a copy of the international application; and an executed declaration.

On 25 April 2005, a Notification of Acceptance was issued identifying the 35 U.S.C. 371(c) date as 14 October 2004. Subsequently, an Official Filing Receipt was issued indicating a "FILING DATE" of 14 October 2004.

On 23 June 2005, applicants filed a "Petition to Correct Filing Receipt." Petitioner avers that the application was deposited via "Express Mail" pursuant to 37 CFR 1.10 on 15 October 2004, rather than 14 October 2004. In a decision dated 14 July 2006, applicants' request was dismissed without prejudice.

On 18 September 2006, applicant filed a "Renewed Petition under 37 CFR 1.10."



DISCUSSION

Applicant presently requests that the USPTO accord the above-identified national stage application a 35 U.S.C. 371(c) filing date of 15 October 2004. A review of the file reveals that the DO/EO/US correctly accorded an 35 U.S.C. 371(c) filing date of 14 October 2004 pursuant to 1.10(a). In the subject petition, applicants argue that the USPS incorrectly entered the "date-in" on the Express Mail label. 37 CFR 1.10(d) allows an applicant to petition to correct an incorrectly entered "date-in" of an Express Mail receipt and states that:

37 CFR 1.10(d) provides:

(d) Any person filing correspondence under this section that was received by the Office and delivered by the "Express Mail Post Office to Addressee" service of the USPS, who can show that the "date-in" on the "Express Mail" mailing label or other official notation entered by the USPS was incorrectly entered or omitted by the USPS, may petition the Commissioner to accord the correspondence a filing date as of the date the correspondence is shown to have been deposited with the USPS, provided that:

(1) The petition is filed promptly after the person becomes aware that the Office has accorded, or will accord, a filing date based upon an incorrect entry by the USPS;

(2) The number of the "Express Mail" mailing label was placed on the paper(s) or fee(s) that constitute the correspondence prior to the original mailing by "Express Mail"; and

(3) The petition includes a showing which establishes, to the satisfaction of the Commissioner, that the requested filing date was the date the correspondence was deposited in "Express Mail Post Office to Addressee" service prior to the last scheduled pickup for that day. Any showing pursuant to this paragraph must be corroborated by evidence from the USPS or that came into being within one business day after deposit of the correspondence in the "Express Mail Post Office to Addressee" service of the USPS.

The petition here was filed promptly after applicant learned of the 15 October 2004 35 U.S.C. 371(c) filing date; 37 CFR 1.10(d)(1) is therefore satisfied.

As noted above, the application materials included a certificate of mailing which listed the "Express Mail" label number used. Applicant has therefore satisfied the requirement of 37 CFR 1.10(d)(2).

A review of the application papers filed in the present application reveals that Express Mail label "EV514904915US" was placed on the Transmittal Letter (PTO·1390) prior to mailing thereof. The "Addressee Copy" of Express Mail label no. "EV514904915US" shows a "date in" of **14 October 2004**. However, information obtained from the United States Postal Service (USPS) Express Mail database shows that "EV514904915US" was accepted by the USPS on **15 October 2004**.

In view of the discrepancy between the 14 October 2005 date shown in the Customer Copy of Express Mail label "EV514904915US" and the 15 October 2004 date shown in the USPS database, it would not be appropriate to grant applicant's request to accord the present application a 15 October 2004 filing date at this time. Moreover, no corroborative evidence that came into being after the deposit and within one business day of the correspondence in the "Express Mail Post Office to Addressee" service of the USPS has been presented. Accordingly, it is inappropriate, on the present record, to accord a filing date of 15 October 2004.

CONCLUSION

Applicants' petition under 37 CFR 1.10(d) requesting that a filing date of 15 October 2004 is **DISMISSED** without prejudice.

Any reconsideration on the merits of this petition must be filed within **TWO (2) MONTHS** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.10."

Any further correspondence with respect to this matter should be addressed to: Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313·1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

Anthony Smith Attorney Advisor

Authory Smith.

Office of PCT Legal Administration

Tel: (571) 272-3298 Fax: (571) 273-0459



UNITED STATES POSTAL SERVICE *

EXPRESS MAIL CORPORATE ACCOUNT

MAILING STATEMENT ACCOUNT NO: 972724

PERIOD: 10/01/04 - 10/31/04

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TDANS	ATTTAL LETTER	TO THE UNITED STATES	4239-64828-02
		ED OFFICE (DO/EO/US)	U.E. APPLICATION NO. OF Income, and 37 C.F.S. § 1.8)
		G UNDER 35 U.S.C. § 371	Not yet assigned
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COMPOSITIONS APPLICANT(8) FOR I	AND METHODS FOR	DIAGNOSTICS AND THERAPEUT	HCS FOR HYDROCEPHALOS
Dame I Dlackshap	Derryl C. Zeldin Jose	n P. Graves and Deborah J. Stumpo	
Applican	it herewith submits to the Un	ited States Designated/Elected Office (DO/BO/	US) the following items and other information:
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2.		ISEQUENT submission of items concerning a	į.
3. ⊠	This is an express request time rather than delay exam § 371(b) and PCT Articles	to begin national examination procedures (35 Unination until the expiration of the applicable to 22 and 39(1).	.S.C. § 371(f)) at any me limit set in 35 U.S.C.
4. 🔯	A proper Demand for Interpriority date.	mational Preliminary Examination was made by	y the 19 th month from the carliest claimed
5. ⊠	A copy of the Internations	al Application as filed (35 U.S.C. § 371(c)(2))	
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		d by the International Bureau.	
	c. is not required, as to	he application was filed in the United States Re	occiving Office (RO/US).
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	c. have not been made	le; however, the time limit for making such am	endments has NOT expired.
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8.	A translation of the amer	dments to the claims under PCT Article 19 (3:	5 U.S.C. § 371(c)(3)).
9. 🗵	A Declaration of Invento	rship and Powers of Attorney from the invento	ors
10.	A translation of the anne § 371(c)(5)).	exes to the International Preliminary Examinati	on Report under PCT Article 36 (35 U.S.C.
Iten	us 11. to 16. below con	cern document(s) or information in	ncluded:
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13. [A FIRST preliminary an	nendment.	
!	☐ A SECOND or SUBSEC	QUENT preliminary amendment.	
14.	☐ A substitute specification	1.	
15.	☐ A change of power of at	torney and/or address letter.	
16.	☑ Paper copy of the S		EXHIBIT C
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cc: Docketing



Track & Confirm

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Here is what happened earlier:

- ARRIVAL AT PICK-UP-POINT, October 17, 2004, 7:16 am, ALEXANDRIA, VA 22313
- ARRIVAL AT UNIT, October 17, 2004, 6:59 am, DULLES, VA 20102
- ENROUTE, October 16, 2004, 7:01 pm, DULLES, VA 20102
- ENROUTE, October 15, 2004, 6:48 pm, PORTLAND, OR 97238
- ACCEPTANCE, October 15, 2004, 4:33 pm, PORTLAND, OR 97205

Notification Options

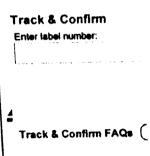
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What is this?





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Attorney eference Number 4239-64828-02 Express Mail Label No. EV514604915US Date of Deposit: October 15, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Blackshear et al.

Application No. Not yet assigned

Filed: Herewith

Confirmation No. Not yet assigned

For: COMPOSITIONS AND METHODS FOR

DIAGNOSTICS AND THERAPEUTICS FOR

HYDROCEPHALUS

Examiner: Not yet assigned

Art Unit: Not yet assigned

Attorney Reference No. 4239-64828-02

MAIL STOP PCT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as Express Mail Label No. EV514604915US in an envelope addressed to: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Agent for Applicant(s)

Date Mailed October 15, 2004

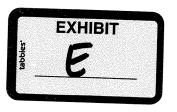
PRELIMINARY AMENDMENT

Prior to calculation of the filing fee and examination of the above-referenced application, please amend the application as follows:

Amendments to the Specification begin on page 2.

Amendments to the Claims are reflected in the listing of claims, which begins on page 3.

Remarks begin on page 11.





Mailing Label



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In witness whereof, I have set my hand and seal on this 13 day of september, 2006.



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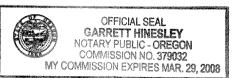


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On this That of January, 2007, I Garrett Hinesley, Notary Public in and for the State and County first named above, certify that the attached page is a true, exact, complete and unaltered photocopy of the original Mail Log, relative to patent application referred to by Applicant matter number 4239-64828-02 listed in the accompanying documents.

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